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HELP YOU SURVIVE  
THE REGULATORY  
CHALLENGES  
IN 2012.



**FNBB**

First National Bankers Bank

## 2012 COMPLIANCE SCHOOL

FNBB is hosting the 2012 Compliance School March 19 - 22 from 8:30 AM to 4:30 PM at Juban's Restaurant in Baton Rouge, Louisiana. All Compliance Officer, BSA Officers, CRA/Fair Lending Officers, Risk Management Officers and Compliance Auditors are urged to attend. Most sessions will be taught on an Intermediate level.

March 19 - Deposit and Operations  
March 20 - Loan Compliance  
March 21 - Fair Lending  
March 22 - Bank Secrecy Act

- \* Anti-Money Laundering specialist will spend a day devoted exclusively to discussing BSA/AML, SARS, CIP, and all the trends and updates.
- \* Regulators and examiners are planning to join us throughout the week - Hear it from them here first.
- \* Get your questions answered by industry experts and attorneys that know compliance.
- \* Network with other compliance professionals from throughout the Southeast.



These are just a few of the speakers and topics scheduled for the 2012 FNBB Compliance School and we will be updating it weekly:

On Monday March 19th focusing on Deposit and Operations Compliance:

- **Laura Brown** from McGlinchey Stafford will discuss Unfair, Deceptive, or Abusive Acts and Practices;  
Laura Brown is a member of the Consumer Financial Services Group, where she concentrates her practice on banking and consumer finance law. She represents local and national banks and financial institutions in connection with deposit matters and varied aspects of consumer finance, including residential mortgage banking, credit card law and regulatory and compliance matters. Laura is the Chairman of the Deposit Products and Payment Systems subcommittee of the ABA's Consumer Financial Services Committee. Laura regularly speaks at national and regional conferences regarding banking and lending regulations and compliance, especially focusing on the many recent and far reaching changes in consumer finance law.
- Laura Brown from McGlinchey Stafford will discuss the new Regulation E Remittance Transfer provisions;
- **Andrew Szymanski** and **Doug Porter** from FIS will present "*A spotlight on Dodd-Frank, QualiFile, and more.....*";

Andrew Szymanski is Senior Compliance Consultant at FIS providing compliance consulting to the banking industry. Andrew has over 25 years of experience in the banking industry, including service as Vice President at the Kirchman Corporation, Senior Compliance Examiner and Field Manager for federal regulatory agencies, and Director of Education for a large compliance consulting firm. He has taught lending, deposit and operation topics for state banking associations and industry sponsored seminars nationwide. Some banking associations include; Alabama, Florida, New Jersey, South Carolina, Massachusetts, Michigan, Delaware, Pennsylvania, Georgia, Oregon, Mississippi, Maryland, and Ohio. Others include the Pennsylvania Association of Community Bankers and Community Bankers Association of Ohio. Andrew has also been a speaker at conferences for Financial Managers Society, America's Community Bankers, Marquis-Centrax and many others. He is also an instructor for the newly formed Consumer Financial Protection Bureau. In addition he conducts compliance reviews and provided solutions to compliance related issues.

Andrew has attended and taught at the Federal Reserve System Compliance School in Washington D.C. and the Office of Thrift Supervision Compliance Training Center in Dallas, Texas. He holds a Bachelor of Arts degree in Management of Human Resources from Warner Southern College in Lake Wales, Florida.

Doug Porter, based in Minneapolis, MN is Vice President, Product Sales Consultant for FIS's Risk Fraud & Compliance Division. Doug has been providing fraud prevention, risk management, and compliance solutions for financial institutions for over twenty-six years. His current role is managing a team of Product Sales Consultants, which support client engagements regarding solutions supported by the Risk Fraud & Compliance division. Previously, he has held positions with Deluxe Corporation and eFunds Corporation. He received his bachelor's degree from the University of Minnesota.

On Tuesday March 20th focusing on Lending Compliance:

- Laura Brown from McGlinchey Stafford will present on Fair Credit Reporting Act / the Exception to Risk; Based Pricing Notice and Credit Score Disclosure
- Laura Brown from McGlinchey Stafford will discuss Open-End Credit and developing ODP Lines of Credit;

- **Layne McDaniel** from **Noesis Data** will present information regarding the Credit Bureaus and what banks can expect from them to assist with their Regulation B and FCRA compliance.
- To end the day we will have two Louisiana specific sessions available to all interested institutions:

**Michael Rubin** from McGlinchey Stafford and LSU Law School has agreed to come discuss Louisiana Security Devices and then another McGlinchey representative will present a session on Louisiana Law Changes and Recent Court Decisions.

On Wednesday March 21st focusing on Fair Lending Compliance:

- FDIC representatives **Mitchell Pittman, Basil Carroll** and **Jenipher Smith** will discuss Fair Lending examinations and trends and participate in a HMDA panel;
- **Lorraine Faust**, Compliance Officer with IBERIABANK will discuss Fair Lending Trends and Program Development;

Lorraine Faust is currently one of 8 compliance Officers for IBERIABANK and has 17 years of banking experience, 14 years of which were as an internal auditor and 3 as a Compliance Officer. She currently holds an inactive CPA and CIA license. The latter part of her tenure as a banking internal auditor was with a focus on regulatory compliance. Her current job responsibilities include fair lending analyses for the bank. She graduated from Southeastern Louisiana University with a B.S. in Accounting in 1989 and has been married for 22 years with 2 children.

IBERIABANK Corporation is a bank holding company headquartered in Lafayette, Louisiana. The Company has 266 combined offices, including 173 bank branch offices in Louisiana, Arkansas, Tennessee, Alabama, Texas, and Florida, 24 title insurance offices in Arkansas and Louisiana, and mortgage representatives in 61 locations in 12 states. The company has 6 locations with representatives of IBERIA Wealth Advisors in 4 states, and one office of IBERIA Capital Partners, L.L.C.

- **Andy Barksdale** and **Justin Brown** TruPoint Partners representative will discuss Fair Lending Data Analysis

On Thursday March 22nd focusing on Bank Secrecy Act Compliance:

- Panel to discuss BSA Examinations and Audits featuring **Mary Reeves**, FDIC;

Mary A. Reeves, Supervisory Examiner, Austin Field Office, has been with the FDIC for 25 years, serving in her current position for over 10 years. She has served in various other capacities during her career with the FDIC, such as Acting Assistant Regional Director in the Dallas Regional Office and Acting Field Supervisor for the Austin Office. Ms. Reeves has extensive risk management examination experience, and also reviews Reports of Examination prepared by examiners and schedules examination activity for the Austin office. Ms. Reeves serves as a Bank Secrecy Act and Fraud subject matter expert, and is a Certified Fraud Examiner. She graduated with a BBA from Texas State University, San Marcos, Texas.

- **Chuck Taylor**, CAMS will discuss Risk Assessment;

Chuck Taylor is Senior Vice President, BSA Officer for City National Bank, headquartered in downtown Los Angeles. He is responsible for overseeing all aspects of the BSA/AML function for City National. He has been in the banking industry since 1992 and the BSA/AML field exclusively since 2003. His resume includes positions with; California National Bank, Bank of America, Pacific Capital Bancorp and the Santa Barbara County District Attorney's Office. Chuck completed his Juris Doctorate in 2001, received certification as an Anti-Money Laundering Specialist (CAMS) in 2003 with the inaugural class and became a Certified BSA Officer in 2007.

- **Maleka Ali**, CAMS will discuss Emerging Risks;

Maleka Ali is a seasoned professional with over 27 years experience servicing the financial community in the areas of Bank Operations, Risk Management, and Compliance. Maleka has worked heavily with OFAC and BSA compliance and is knowledgeable in the USA PATRIOT Act and the international AML principles and guidelines set by the FATF, Wolfsberg Group, and Basel Committee. Maleka is a Certified Anti-Money Laundering Specialist (CAMS). She has served as faculty member on BSA/AML at America's Community

Bankers National School of Banking and has served as a guest speaker and workshop instructor at various national conferences, including the Association of Certified Fraud Examiners, addressing the subjects of BSA/AML/OFAC compliance and its various applications, risk assessment management, fraud and identity theft.

- IRS BSA Investigators to provide insight on how SAR information is used to pursue cases;

**Patrick Potter:**

Patrick Potter has been a Special Agent with IRS – Criminal Investigation Division for six years, where he is assigned to work in the New Orleans office. He has worked various tax, structuring, credit card fraud, payroll fraud, bookmaking, and public corruption cases during his tenure as a Special Agent. He is the lead coordinator of the SAR-Review Team for the Eastern Judicial District of Louisiana. He is also the lead of the New Orleans Financial Crimes Task Force. Before joining the IRS, he held various accounting and financial positions, including staff accountant; accounting manager; and financial analyst. He is a graduate of the University of New Orleans with a Bachelor of Science Degree in Accounting and a Master of Science Degree in Accounting.

**Ashley Labat:**

Ashley Labat is a BSA (Bank Secrecy Act) Examiner and SME (Subject Matter Expert) for the US Department of Treasury based in the New Orleans office. He has over 25 years experience in this area and has worked for the IRS as a Revenue Agent now for 33 years. Mr. Labat has been heavily involved in the BSA Program not only as an Examiner, but also as an author of the BSA training class material used for new examiners, Lead Classroom Instructor, On-the-Job instructor, prior BSA Program Coordinator for LA, MS, & AL, liaison with various Federal & State Agencies, and Special Task Force member. Before joining the IRS, Mr. Labat was in public accounting working for a large CPA firm for 3 1/2 years. He is a graduate of the University of New Orleans with a Bachelor of Science Degree in Accounting with credits toward his Master Degree. Mr. Labat has also served as a Board of Director in various positions over the last 23 years for the Internal Revenue Federal Credit Union and a prior Associate Board Member for the Louisiana Credit Union League.

**Monique Schmit:**

Monique Schmit is a Special Agent in the Baton Rouge, LA POD. She has been a Special Agent with IRS-CI for 13 1/2 years and have been with IRS 17 1/2 years (former RA). She is in the Baton Rouge, LA POD since October 2005, prior to that in the Gulfport, MS POD. She has worked a variety of cases including Title 26 (Tax), Title 18 (Money Laundering) and Title 31 (BSA). She is on the Financial Crimes Review Team Sub-coordinator for the Middle Judicial District of LA (the Baton Rouge area is in the MJD) since it started in late 2006. The team meets approximately every 6 weeks with federal and state agencies participating. She has a B.S.B.A.-Accounting from the University of Southern Mississippi and M.S.-Accounting Taxation from the University of New Orleans. She is a CPA and married living in Ascension Parish.

- **Jennifer Creger** from First National Bankers Bank will discuss OFAC.



# 2012 Compliance School Registration Form

Bank Name \_\_\_\_\_

Address \_\_\_\_\_

Phone \_\_\_\_\_

## Registrants

Please complete the information below.

1. \_\_\_\_\_  Mon 3/19  Tues 3/20  Wed 3/21  Thurs 3/22

Title \_\_\_\_\_ Email \_\_\_\_\_

2. \_\_\_\_\_  Mon 3/19  Tues 3/20  Wed 3/21  Thurs 3/22

Title \_\_\_\_\_ Email \_\_\_\_\_

3. \_\_\_\_\_  Mon 3/19  Tues 3/20  Wed 3/21  Thurs 3/22

Title \_\_\_\_\_ Email \_\_\_\_\_

4. \_\_\_\_\_  Mon 3/19  Tues 3/20  Wed 3/21  Thurs 3/22

Title \_\_\_\_\_ Email \_\_\_\_\_

## Accounting

Please indicate which sessions that you would like to attend and fax registration form to Lorie Evans at 225.952.0803 or [levans@bankers-bank.com](mailto:levans@bankers-bank.com)

- \_\_\_\_\_ FNBB Client or Shareholder Registration \$880.00 per full registration
- \_\_\_\_\_ General Registration \$1,200.00 per full registration
- \_\_\_\_\_ Per Day Charge \$300.00 per registrant

\_\_\_\_\_ Deduct \$50.00 for Early Bird Discount

Deduct \$50.00 per registrant - Early Bird Discount for every full school registration submitted by January 31, 2011

## Method of Payment

- Mailing Check (FNBB, 7813 Office Park Boulevard, Baton Rouge, LA 70809)
- Please debit my bank's FNBB account ABA# \_\_\_\_\_
- Please send an invoice to the attention of \_\_\_\_\_

Email \_\_\_\_\_